RECEIVED CENTRAL FAX CENTER

MAY 2 4 2006

FAX TRANSMITTAL SHEET

	Recipient	Сотралу	Fax No.	Phone No.	
ī.	Tran, Quoc A.	U.S. Patent & Trademark Office	(571) 273-8300	(571) 272-3756	
FRO	OM John D. Lanza	NUMBER OF PAGES	8 (including this	cover page)	
DA"	TE May 24, 2006	CLIENT NUMBER	2006579-0221 (CT	X-061)	
PHO	ONE (617) 248-4801	OPERATOR D. Go	Goodison TIME SENT		
REMARKS:					

Applicant:

Richard Hayton et al.

Examiner:

Tran, Quoc A.

Serial No.:

09/704,896

Art Unit:

2176

Filing Date:

November 2, 2000

Title:

METHODS AND APPARATUS FOR INCORPORATING A PARTIAL PAGE

ON A CLIENT

Examiner Tran,

Transmitted herewith for filing in the above-referenced application, please find the following documents:

- Pre-Appeal Brief Request for Review (1 page); 1.
- Notice of Appeal Under 37 C.F.R. § 1.191 (1 page);
- Pre-Appeal Brief Request for Review (6 pages); and 3.
- This Facsimile Transmittal (1 page).

Kindly acknowledge receipt of the attached documents by return facsimile transmission. Thank you for your kind attention to this request.

Respectfully Submitted,

Return by:

Inter-office Mail X

Hold for pick-up

THIS TRANSMITTAL IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS TRANSMITTAL IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE TRANSMITTAL TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY.

RECEIVED NO. 827 **CENTRAL FAX CENTER**

Doc Code: AP.PRE.REQ

MAY 2 4 2006

PTO/SB/33 (07-05)
Approved for use through xx/200x. OMB 0651-00xx
U.S. Paisnt and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respon	d to a colle	tion of information unless it di	splays a valid QMB control number.			
Attendary about the state of th	Docket Number (Optional)					
PRE-APPEAL BRIEF REQUEST FOR REVI	2006579-0221 (CTX-061)					
The being described.	Applicati	on Number	Filed			
I hereby certify that this correspondence is being deposited via facsimile to 571-273-8200.			,			
Alta forcellulo 10 2011 212 2	9	9/704,896 Î	December 2, 2000			
Man 04 0000		T				
onMay 24, 2006		med Inventor				
Signature Ruth Wolan		Richard Hayton				
	Art Unit	Ex	aminer			
Typed or printed	2	176	Quoc Q. Tran			
name Ruth Dolan			Quoc Q. 11811			
Applicant requests review of the final rejection in the above-identified application. No amendments are being file with this request.						
·						
This request is being filed with a notice of appeal.						
	N. II					
The review is requested for the reason(s) stated on the attached sheet(s).						
Note: No more than five (5) pages may be provided.						
I am the		7	\mathcal{A}			
applicant/inventor.	-	S	nature			
assignee of record of the entire interest.		John D. L	<u> </u>			
See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)	_		printed name			
X attorney or agent of record.		617-248	3-5000			
Registration numberJohn D. Lanza		Teleph	one number			
attomey or agent acting under 37 CFR 1.34.		May 54	none			
Registration number if acting under 37 CFR 1.34		May 24, :	2006			
Manager Landon & Good & Manager A. Co. Landon						
NOTE: Signatures of all the inventors or assignees of record of the entire interest of their representative(s) are required. Submit multiple forms if more than one signature is required, see below.						
*Total of forms are submitted.		<u> </u>	<u> </u>			
This collection of information is required by 35 U.S.C. 132. The information is required to obtain or relain a benefit by the public which is to fite (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1/14 and 41.6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for redoining this burden, should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandra, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop AF, Commissioner for Patents, P.O. Box (450, Alexandra, VA 22313-1450.						

If you need assistance in completing the form, call 1-800-PijO-9199 and select option 2.

PAGE 3/8 * RCVD AT 5/24/2006 6:35:29 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/16 * DNIS:2738300 * CSID:6172484000 * DURATION (mm-ss):03-22

RECEIVED **CENTRAL FAX CENTER**

MAY 2 4 2006

ATTORNEY DOCKET NO. 2006579-0221 (CTX-061)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hayton, et al.

Examiner:

Tran, Quoc A.

Serial No.:

09/704,896

Art Unit

2176

Filing Date:

November 2, 2000

Title:

METHODS AND APPARATUS FOR INCORPORATING A

PARTIAL PAGE ON A CLIENT

Mail Stop Appeal Brief - Patents

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence being facsimile transmitted to the U.S. Patent and Trademark Office, facsimile No. (571) 273-8300 on May 24, 2006.

Kutil Oslan

Name: Ruth Dollan

PRE-APPEAL BRIEF REQUEST FOR REVIEW

Sir:

In response to the Final Office Action mailed February 24, 2006, and accompanying a Notice of Appeal to the Board of Appeals and Interferences in the United States Patent and Trademark Office appealing the rejection of pending claims 1, 3-11, 13-15, 17 and 18 in the above-referenced case, Applicants request that a pre-appeal brief review be conducted and that consideration be give to the following remarks, pursuant to the July 12, 2005, Official Gazette Notice titled "New Pre-Appeal Brief Conference Pilot Program."

Applicants submit that (1) essential elements required to establish a prima facie rejection are omitted from the Examiner's rejection and (2) the Examiner's rejection contains clearly improper rejections based upon errors in facts.

The application was filed on November 2, 2000. In the first Office Action, mailed on February 9, 2004, the Examiner rejected claims 1-14 and 16-18 under 102(b) as unpatentable over Nazem et al. (hereinafter Nazem) US No. 5,983,227 and rejected claim 15 under 103(a) as

1

4083178_1.DOC

PAGE 4/8 * RCVD AT 5/24/2006 6:35:29 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/16 * DNIS:2738300 * CSID:6172484000 * DURATION (mm-ss):03-22

unpatentable over Nazem, in view of Nehab et al. (hereinafter Nehab) US No. 6,029,182. In that Office Action, the Examiner admitted that Nazem does not explicitly teach an external page code source or a partial page regenerator. See Office Action of February 9, 2004, pages 7-8. The Examiner relied upon Nehab to teach the partial page regenerator.

Applicants filed an amendment and response on July 9, 2004, amending the claims to overcome the rejection and to recite: "(a) receiving, at a client, a transmitted page comprising a plurality of dynamic portions."

On June 28, 2005, the Examiner issued a Final Office Action, rejecting claims 1, 3-11, 13-15, 17, and 18 under 103(a) as unpatentable over Nazem in view of Jeyaraman, US Patent No. 6,311,187 (hereinafter Jeyaraman). The Examiner admitted that Nazem does not explicitly teach the step of receiving, by the client, a modified version of one of the dynamic portions of the page and an identifier specifying one of the plurality of dynamic portions of the transmitted page to be replaced by the modified version of one of the dynamic portions. The Examiner relied upon Jeyaraman for the receiving of a modified version of the dynamic portions of the page.

In the Remarks Accompanying a Request for Continued Examination, Applicants pointed out that Jeyaraman does not, in fact, teach or suggest recelving, by the client, a modified version of one of the dynamic portions of the page and an identifier specifying one of the plurality of dynamic portions of the transmitted page to be replaced by the modified version of one of the dynamic portions because Jeyaraman states that it is inefficient for clients to receive new copies of modified data, as opposed to only the operations that generate the modifications. See Jeyaraman, col. 1, lines 40-50. Modifying Jeyaraman to transmit a modified version of a dynamic portion of a page would require changing the principle on which Jeyaraman operates.

In an Office Action mailed February 24, 2006, the Examiner rejected claims 1, 3-11, 13-15, 17, and 18. In stating that Applicant's arguments were not persuasive, the Examiner contradicted his twice-stated admission that Nazem fails to disclose a dynamic page generator, wherein a user front page is returned by a page server. The Examiner did not address the

2

4083178_1.DOC

Applicants statements arguing that Jeyaraman fails to teach or suggest receiving, by the client, a modified version of one of the dynamic portions of the page and an identifier specifying one of the plurality of dynamic portions of the transmitted page to be replaced by the modified version of one of the dynamic portions. In fact, the Examiner simply repeated, almost verbatim, the arguments made in the June 28, 2005, Office Action, in which the Examiner admitted that Nazem fails to teach or suggest receiving, by the client, a modified version of one of the dynamic portions of the page and an identifier specifying one of the plurality of dynamic portions of the transmitted page to be replaced by the modified version of one of the dynamic portions.

Additionally, in the most recent Office Action, the Examiner rejected claims 1 and 3-10 under 35 U.S.C. §101, raising the §101 rejection for the first time in two years of examination. Applicants will address each of the rejections below.

Rejection of Claims Under 35 U.S.C. §101

The Examiner rejected claims 1 and 3-10 as directed to non-statutory subject matter and as failing to set forth physical structures or materials comprising of hardware or a combination of hardware and software within the technological arts (i.e. a computer) to produce a useful, concrete and tangible result. However, claim 1 recites a client in the preamble, and in each of the steps, (a), (b), (c), and (d). A "client" is described as "any computing device (e.g., a personal computer, set top box, phone, handheld device, kiosk, etc.)" and includes a display and a storage buffer. See Specification, page 9, lines 1-4. Since the claims clearly recite physical structures as required by 35 U.S.C. §101, Applicants submit that there is clear error in the Examiner's rejection.

Rejection of Claims Under 35 U.S.C. §103(a)

Independent claims 1, 11, and 15 recite the step of receiving, at a client, a modified version of a dynamic portion of a page and an identifier specifying one of the plurality of dynamic portions of the transmitted page to be replaced by the modified version of the dynamic portion of the page. (See claims 1, 11, and 15). Nazem provides an improved custom page server generating a page in response to a request for the page without the need to make requests from other servers for portions of live data. See Nazem, col. 1, lines 61-67, and col. 2 lines 8-11. The purpose of Nazem is to store live data in a local, shared memory for use by a server in

3

4083178_1.DOC

responding to requests from users. See id. One of ordinary skill in the art would not be motivated to modify a system for providing a pager server with improved access to live data to require receiving, by a client, modified version of one of the dynamic portions of the page and an identifier specifying one of the plurality of dynamic portions of the transmitted page to be replaced by the modified version of one of the dynamic portions; transmission of a portion of a page and an identifier to a client would not improve the ability of a server to retrieve live data from other servers. Applicants submit that Nazem's failure to disclose, teach or suggest receiving a modified version of a dynamic portion of a page and an identifier specifying of the plurality of dynamic portions of the transmitted page to be replaced by the modified version of the dynamic portion of the page is an omission by the Examiner of one or more essential elements needed for a prima facie rejection.

Furthermore, Jeyaraman also fails to teach or suggest receiving a modified version of a dynamic portion of a page and an identifier specifying one of the plurality of dynamic portions of the transmitted page to be replaced by the modified version of the dynamic portion of the page. The Examiner relied upon Jeyaraman, stating that Jeyaraman "discloses a system and method of propagating updates efficiently in a hierarchically structured data under a push model to a client. ..." See Office Action of 2/24/2006, page 6. Jeyaraman fails to teach or suggest receiving, by a client, a modified version of one of the dynamic portions of a page. Jeyaraman focuses on specifying the operations needed to manipulate nodes within client copies of data and transmitting the operations in updates to clients. See Jeyaraman, col. 6, lines 54-59, and col. 7. Jeyaraman does not suggest receiving, by a client, a modified version of one of the dynamic portions of a page, because the purpose of Jeyaraman is to increase efficiency by transmitting only the operations that will result in changes to data, in particular for clients with limited memory space, such as thin clients. One of ordinary skill in the art would not be motivated to modify Jeyaraman to enable transmission of a modified version of a dynamic portion of a page because Jeyaraman states that it is inefficient for clients to receive new copies of modified data, as opposed to only the operations that generate the modifications. Modifying Jeyaraman to transmit a modified version of a dynamic portion of a page would require changing the principle on which Jeyaraman operates. Applicants submit that because of this failure, the Examiner's rejection contains clearly improper rejections based upon errors in facts.

Accordingly, since Nazem and Jeyaraman, either alone or in combination, do not teach or suggest receiving a modified version of a dynamic portion of a page, as required by claims 1, 3-11, 13-15, 17 and 18, Applicants submit that there is clear error in the Examiner's rejections and that the rejection omits one or more essential elements needed for a prima face rejection and that the rejection contains clearly improper rejections based upon errors in facts.

CONCLUSION

In view of the above remarks, Applicants believe the pending application is in condition for allowance. Applicants believe no fee is due with this statement. However, if a fee is due, please charge our Deposit Account No. 03-1721.

Respectfully submitted, Choate, Hall & Stewart, LLP

Dated May 24, 2006_

John D. Lanza, Esq. Registration No. 40,060 Attorney for Appellants

PATENT DEPARTMENT CHOATE, HALL & STEWART, LLP Two International Place Boston, MA 02110 Tel: (617) 248-5000

Fax: (617) 248-4000